

**PATENT**  
(Docket No. IN-5506)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Günther OTT et al.

Serial No.: 09/889,818

Filed: November 15, 2001

For: Powder-Slurry That Can Be Hardened  
by Actinic Radiation or by Thermal  
Means, Method for Producing Said  
Slurry and Use of the Same

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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SEP 22 2004

Group Art Unit: 1714

Examiner: Tae H. Yoon

I hereby certify that the attached correspondence is being transmitted  
via facsimile addressed to Commissioner for Patents, PO BOX 1450,  
Alexandria, VA 22313-1450, on the date shown below to facsimile  
number 1-703-872-9306.

9-22-04  
Date

Michael Morgan

**TRANSMITTAL SHEET**

Enclosed are:	Pages
This Transmittal Page in duplicate	2
Notice of Non-Compliant Amendment	1
Replacement pages 2-5 from Response to Office Action	4
Total including this cover page	7

The Amendments to the Specification section are being resubmitted to delete the status identifiers for the paragraphs. There is no prohibition on using status identifiers in the amendments to the specification section.

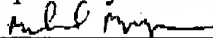
**CONDITIONAL PETITION FOR EXTENSION OF TIME**

Applicant believes that no extension of time is required. This conditional petition of time is being made, however, to provide for the possibility that applicants have inadvertently overlooked the need for a petition for extension of time. In this event, please charge Deposit Account 23-3425 the necessary extension of time fees. This document is submitted in duplicate.

**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

The Director - U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account 23-3425 any fees necessary for entry of any of the above listed documents. This document is submitted in duplicate.

Respectfully submitted,

  
Michael F. Morgan, Esq. (Reg. No. 42,906)  
(248) 948-2355

BASF Corporation  
26701 Telegraph Road  
Southfield, MI 48034-2442

Date: 22 September 2004

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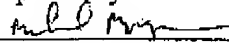
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. BOX 1450  
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09/889818

**Notice of Non-Compliant Amendment (37 CFR 1.121)**

The amendment document filed on 8/24/04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☒ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☒ C. Other Status identifiers not needed for the specification
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings: \_\_\_\_\_
- ☐ 4. Amendments to the claims:
  - ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/wcb/offices/pac/dapp/opla/preognotice/officflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Smolter LIE  
Legal Instruments Examiner (LIE)

(571) 272-0999  
Telephone No.